



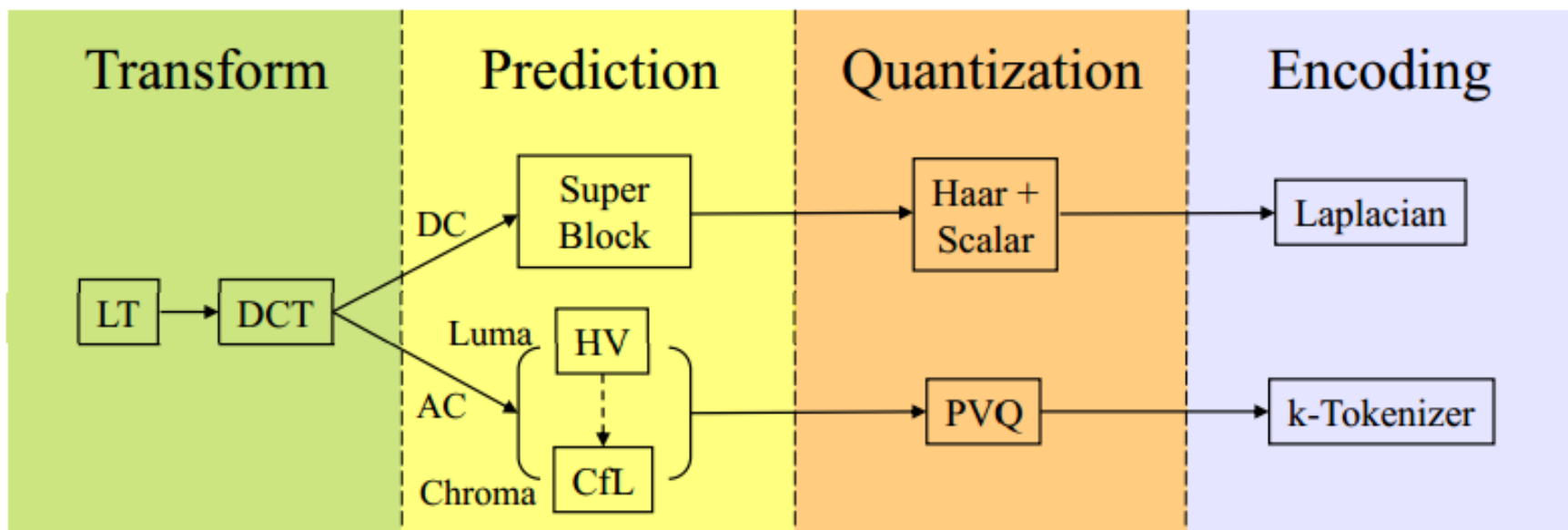
# Daala

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- Daala is a high-efficiency video codec designed for internet applications
- Technical differences (so far)
  - Lapped Transforms
  - Perceptual Vector Quantization
  - Chroma from Luma Prediction
  - Overlapped Block Motion Compensation
  - Paint Deringing Filter
  - Multisymbol arithmetic coding

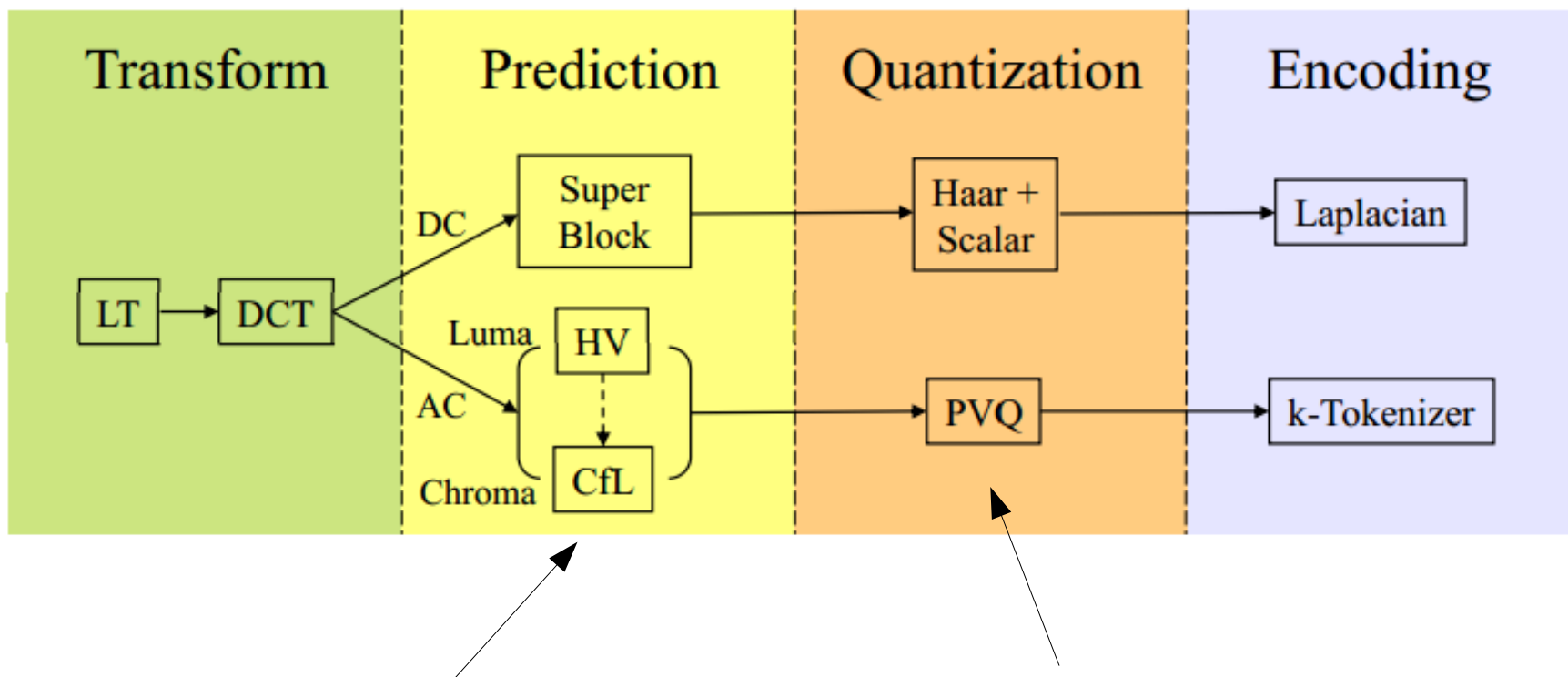


# Still Image Encoding



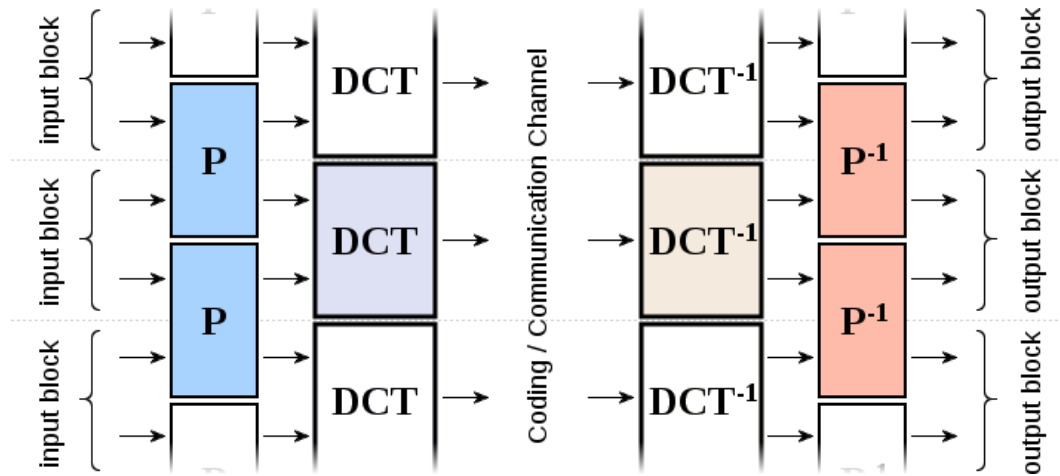


# Still Image Encoding





# Lapped Transforms



prefilter





# Lapped Transforms

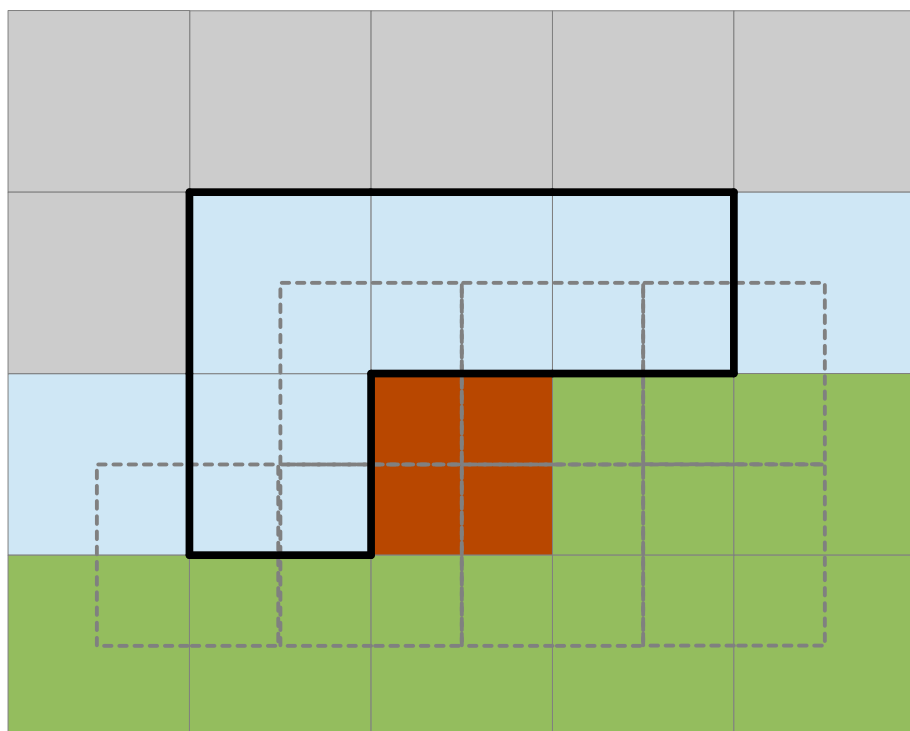
- No more blocking artifacts, without loop filter
- Computationally cheaper than wavelets
- Better compression than DCT / wavelets
- Doesn't completely disrupt block based infrast.







subset-1	4x4	8x8	16x16
KLT	12.47 dB	13.62 dB	14.12 dB
DCT	12.42 dB	13.55 dB	14.05 dB
CDF 9/7	13.14 dB	13.82 dB	14.01 dB
LT-KLT	13.35 dB	14.13 dB	14.40 dB
LT-DCT	13.33 dB	14.12 dB	14.40 dB



# Decoding an Intra Frame with Lapped Transforms

Neighboring blocks:



-  Reconstructed Image
-  Predicted
-  Unpredicted
-  Currently Predicting
-  Needs Post-filter
-  Prediction Support



# Perceptual Vector Quantization

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- Separate “gain” (contrast) from “shape” (spectrum)
  - Vector = Magnitude  $\times$  Unit Vector (point on sphere)
- Potential advantages
  - Better contrast preservation
  - Better representation of coefficients
  - Free “activity masking”
    - Can throw away more information in regions of high contrast (*relative* error is smaller)
    - The “gain” is what we need to know to do this!



# Simple Case: PVQ without a Predictor

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- Scalar quantize gain
- Place  $K$  unit pulses in  $N$  dimensions
  - Only has  $(N - 1)$  degrees of freedom

$$\mathbf{y} \in \mathbb{Z}^N : \sum_{i=0}^{N-1} |y_i| = K$$

- Normalize to unit  $L_2$  norm

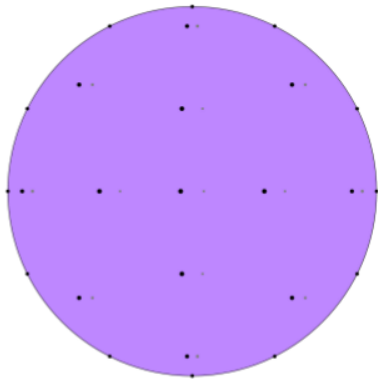
$$\mathbf{u} = \mathbf{y} / \|\mathbf{y}\|_{L2}$$

- $K$  is derived implicitly from the gain
-

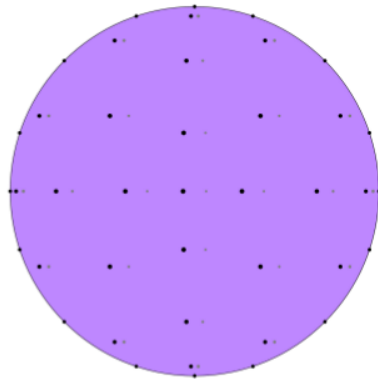




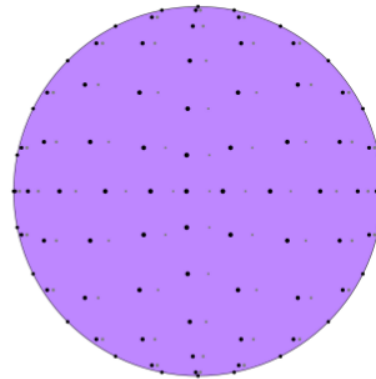
# Codebook for $N=3$ and different $K$



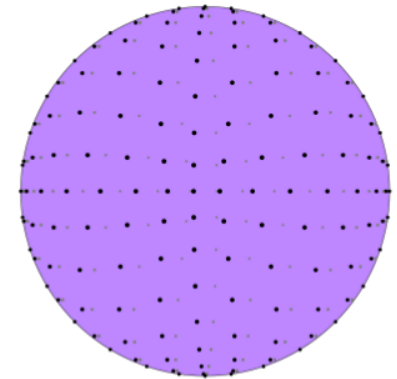
5.25 bits ( $K=3$ )



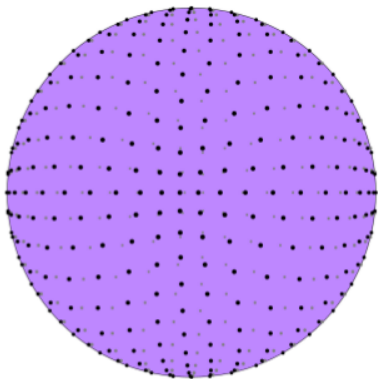
6.04 bits ( $K=4$ )



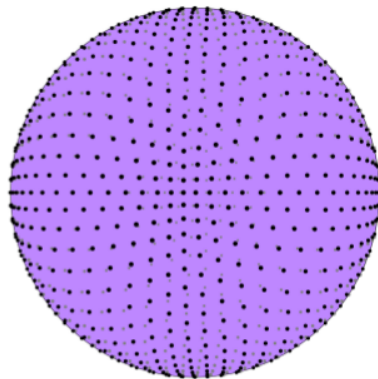
7.19 bits ( $K=6$ )



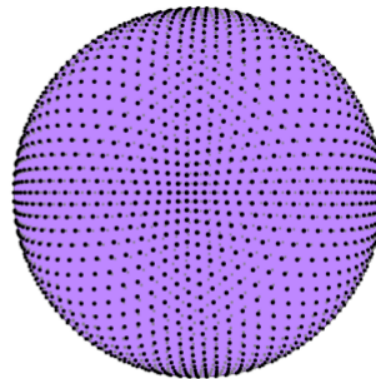
8.01 bits ( $K=8$ )



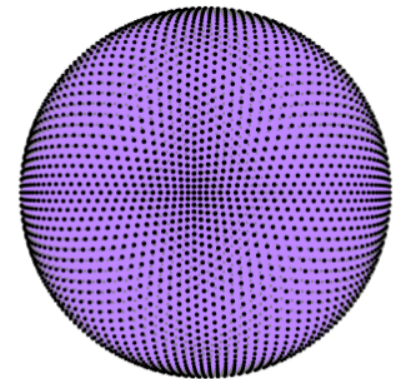
8.92 bits ( $K=11$ )



10.00 bits ( $K=16$ )



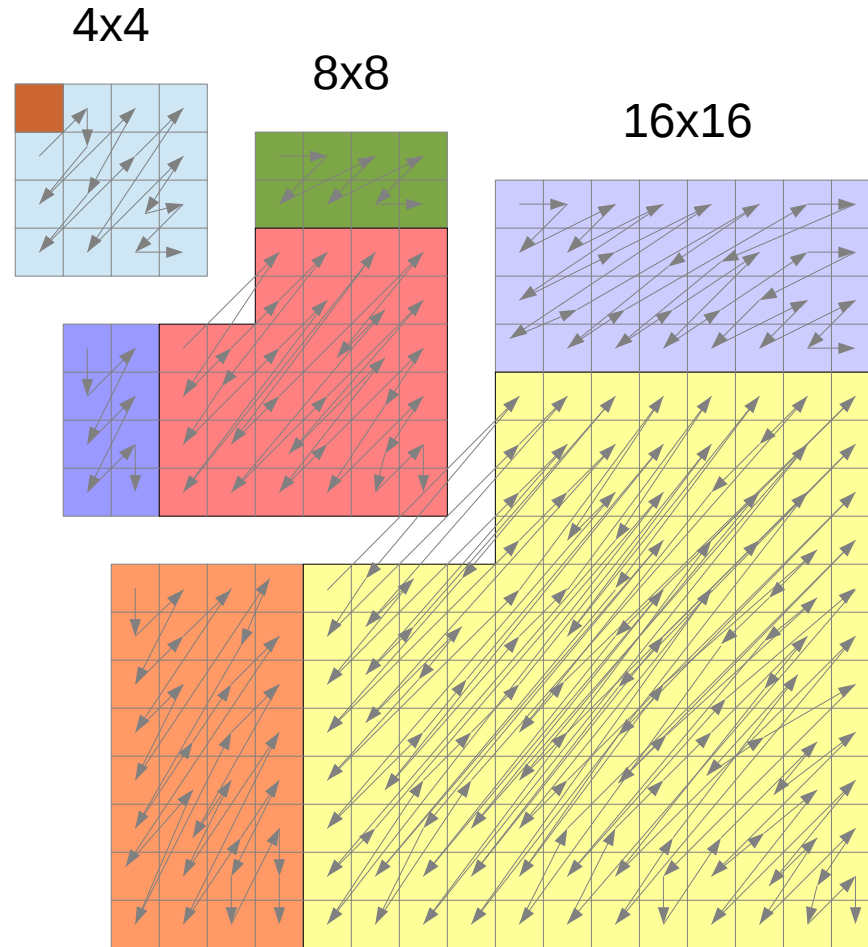
11.05 bits ( $K=23$ )



12.00 bits ( $K=32$ )

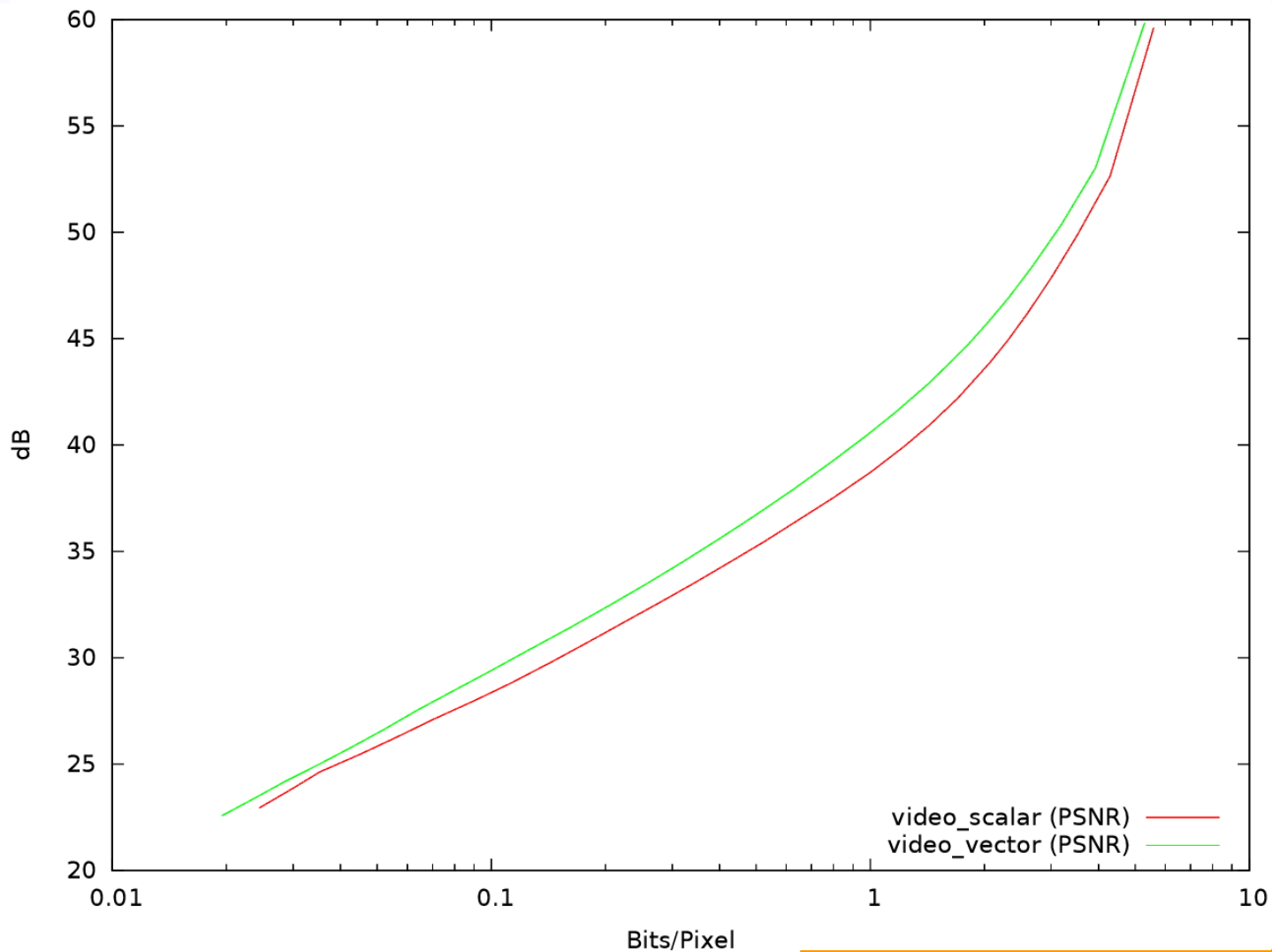


# Band Structure





# Results (PVQ vs Scalar)





# Activity Masking

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- Goal: Use better resolution in flat areas
  - Most codecs require explicit QP signaling (MB)
  - PVQ allows implicit signaling based on gain (band)
- Changes how  $K$  is computed from the gain
- Gain quantized using a non-linear scale



# No Activity Masking (54 kB)

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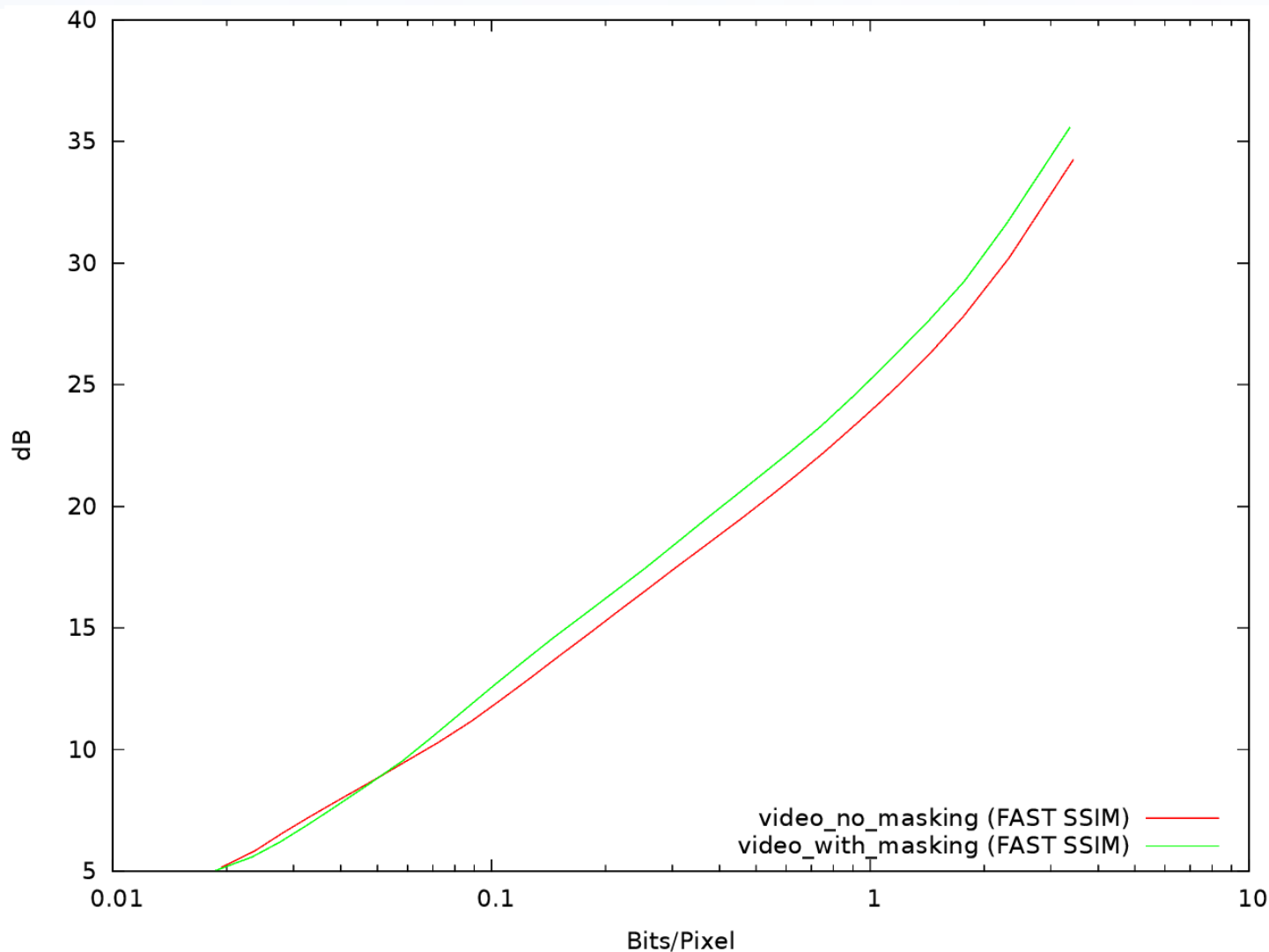
# Activity Masking (54 kB)

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# Results (Activity Masking)





# Using Prediction

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- Subtracting and coding a residual loses energy preservation
  - The “gain” no longer represents the contrast
- But we still want to use predictors
  - They do a *really* good job of reducing what we need to code
  - Hard to use prediction on the shape (on the surface of a hyper-sphere)
- Solution: transform the space to make it easier

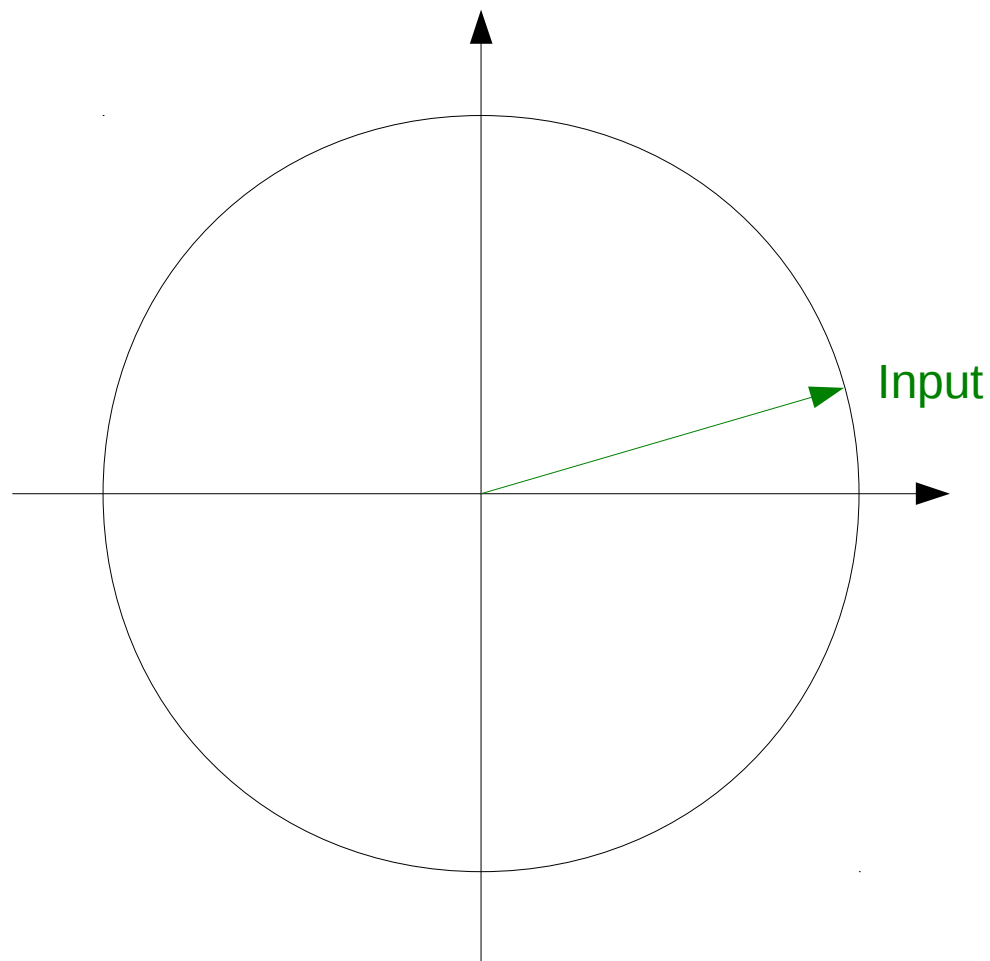




# 2-D Projection Example

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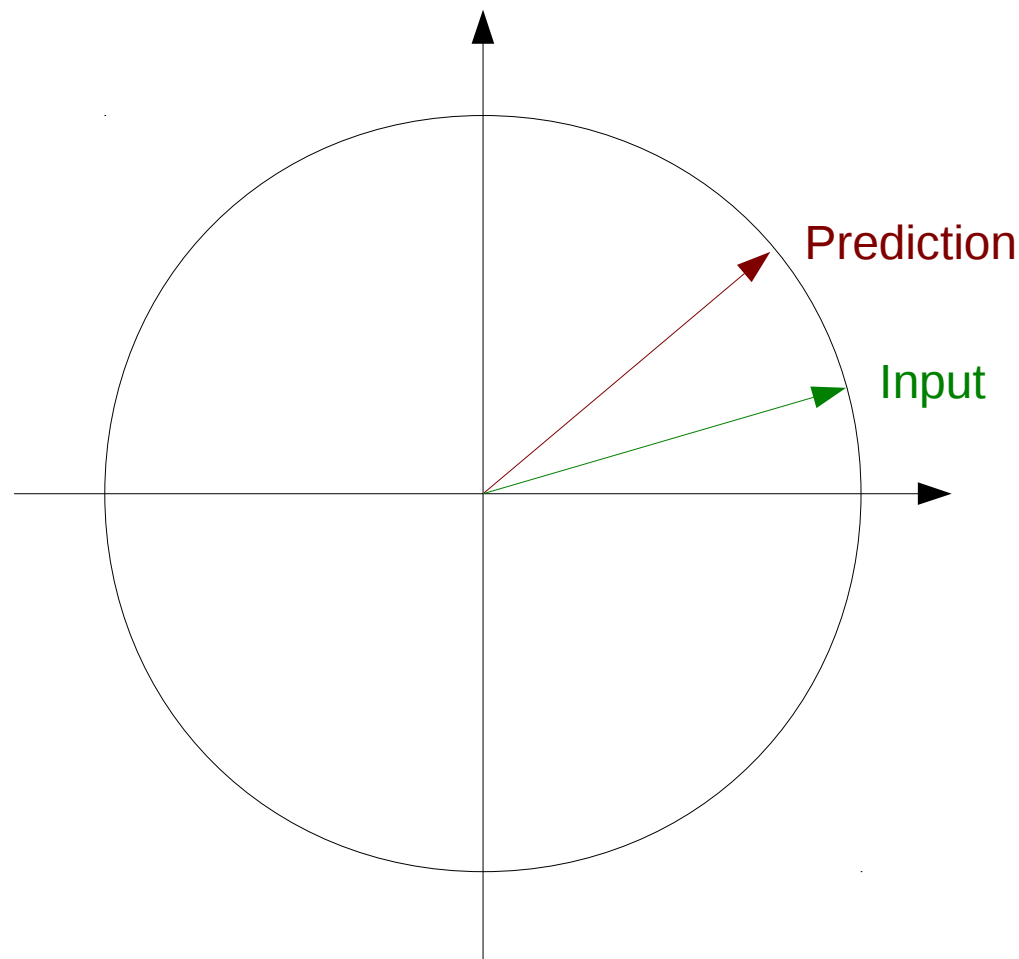
- Input





# 2-D Projection Example

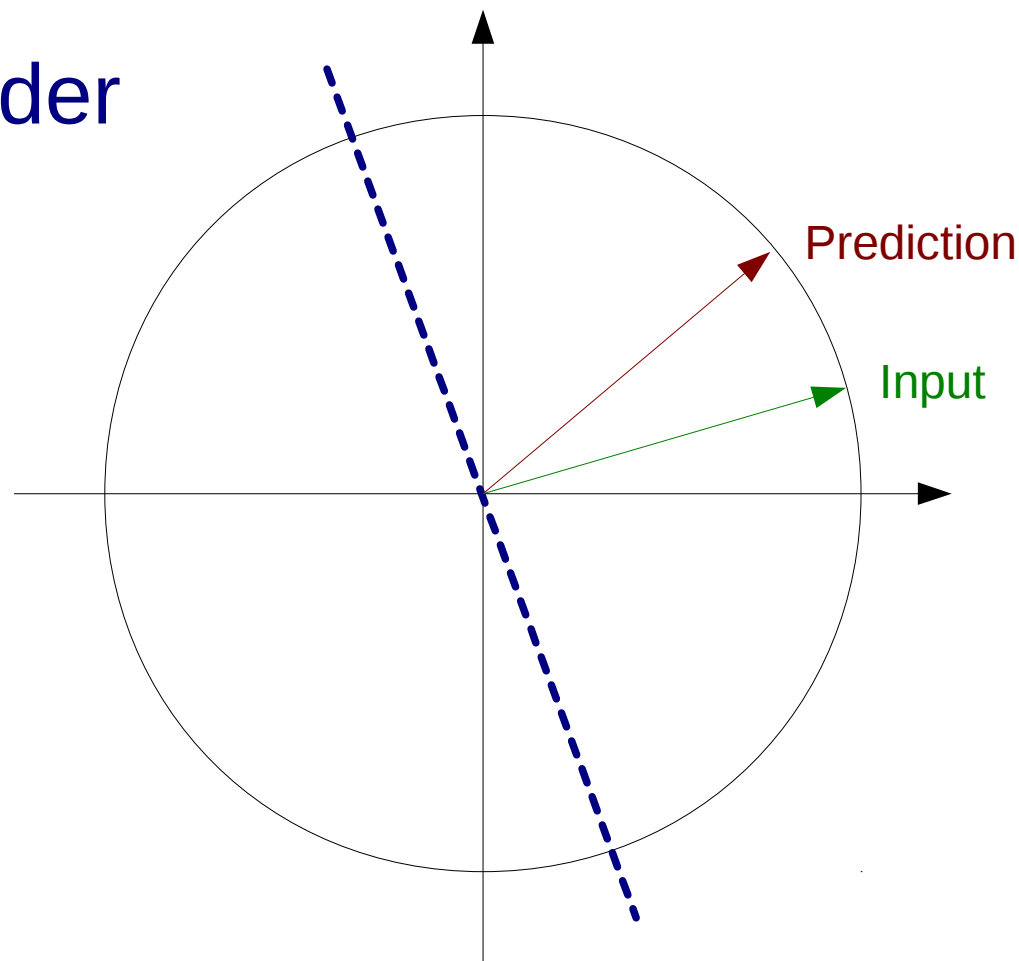
- **Input** + **Prediction**





# 2-D Projection Example

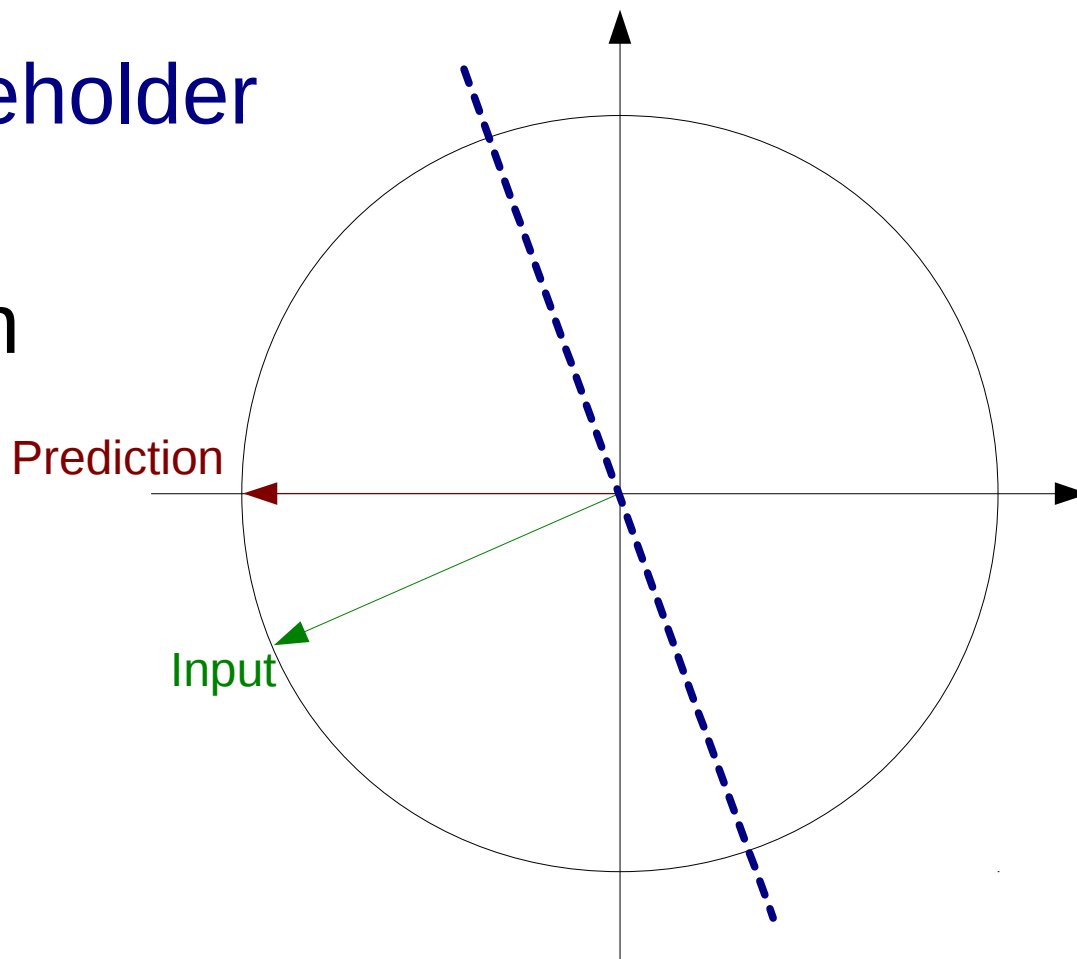
- **Input** + **Prediction**
- **Compute Householder Reflection**





# 2-D Projection Example

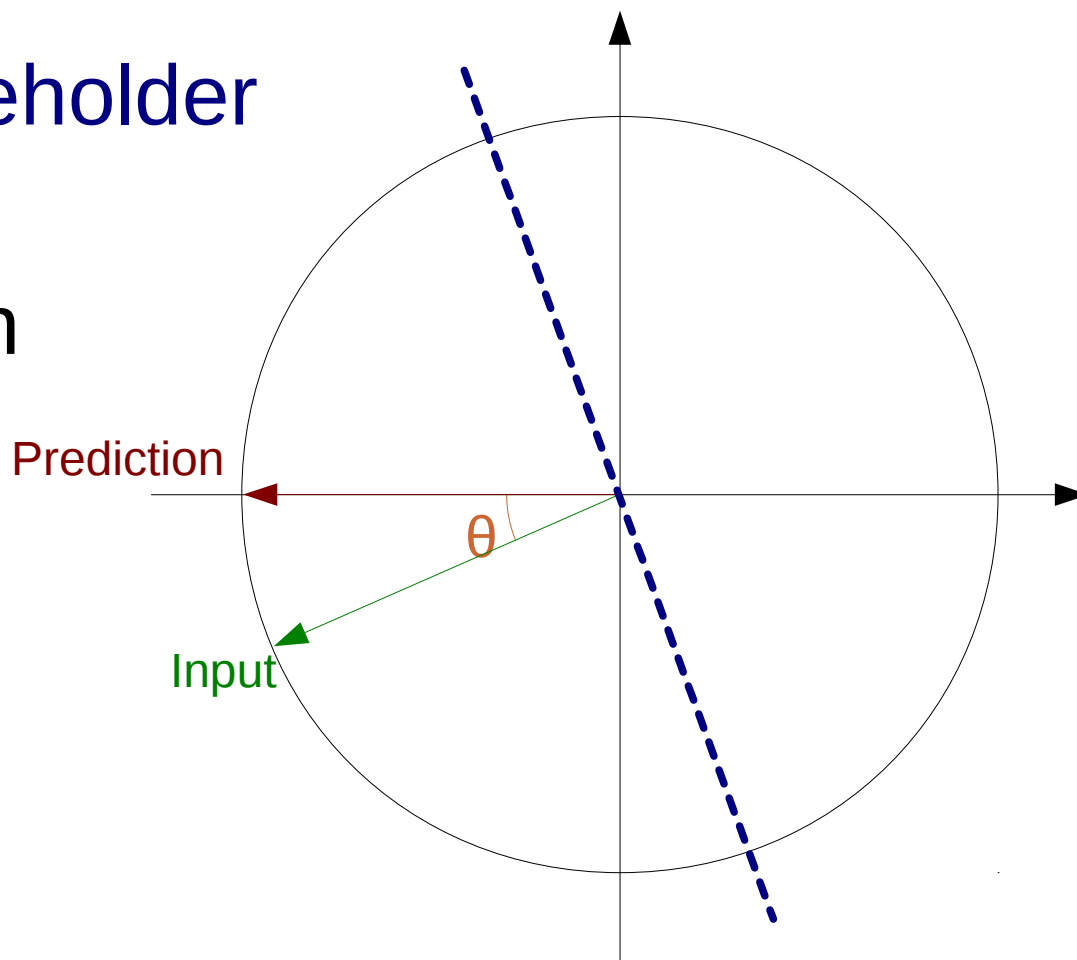
- **Input** + **Prediction**
- Compute Householder Reflection
- Apply Reflection





# 2-D Projection Example

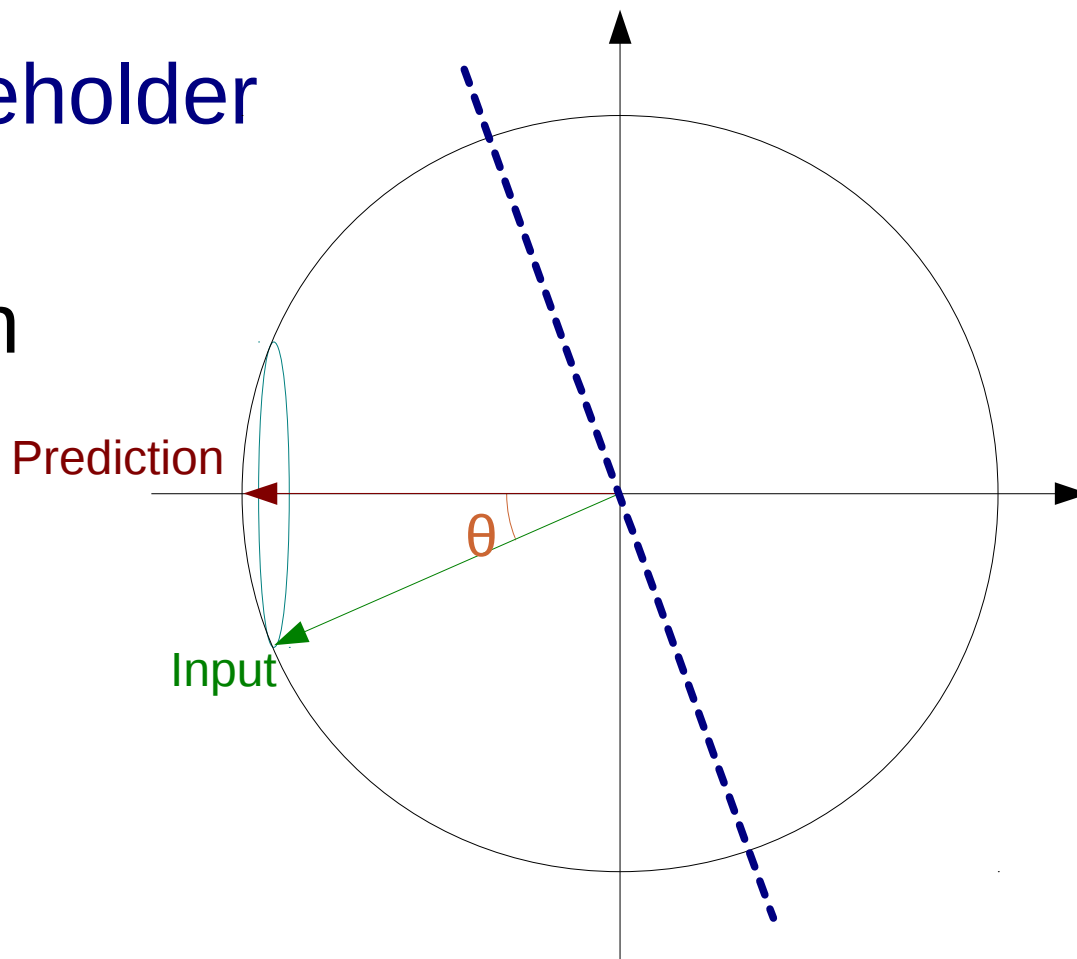
- Input + Prediction
- Compute Householder Reflection
- Apply Reflection
- Compute & code angle





# 2-D Projection Example

- Input + Prediction
- Compute Householder Reflection
- Apply Reflection
- Compute & code angle
- Code other dimensions





# What does this accomplish?

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- Creates another “intuitive” parameter,  $\theta$ 
  - “How much like the predictor are we?”
  - $\theta = 0 \rightarrow$  use predictor exactly
- Remaining  $N-1$  dimensions are coded with VQ
  - We know their magnitude is  $\text{gain} * \sin(\theta)$
- Instead of subtraction (translation), we’re scaling and reflecting
  - This is *nothing* like computing a DFD



# To Predict or Not to Predict...

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- $\theta \geq \pi/2 \rightarrow$  Prediction not helping
  - Could code large  $\theta$ 's, but doesn't seem that useful
  - Need to handle zero predictors anyway
- Current approach: code a “noref” flag
  - Jointly coded with gain and  $\theta$





# Spatial Prediction of Chroma

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- In 4:2:0 image data, chroma is 50% of luma
- Chroma predicted spatially by signalling a directional mode
  - Reconstructed neighbors must be available to decode a block
  - Limited to predicting from current color plane
- Cross-channel correlation not exploited
- Does not work with codecs using lapped transforms!



# Predicting Chroma from Luma

- Key insight: YUV conversion de-correlates luma and chroma globally, but local relationship exists [1]
- Both encoder and decoder compute linear regression:

$$\alpha = \frac{N \cdot \sum_i L_i \cdot C_i - \sum_i L_i \sum_i C_i}{N \cdot \sum_i L_i \cdot L_i - \left( \sum_i C_i \right)^2} \quad \beta = \frac{\sum_i C_i - \alpha \cdot \sum_i L_i}{N}$$

- Use reconstructed luma coefficients to predict coincident chroma coefficients:

$$C(u, v) = \alpha \cdot L(u, v) + \beta$$

- Not selected for HEVC due to 20-30% increased complexity

[1] S.H. Lee & N.I. Cho: “Intra prediction method based on the linear relationship between the channels for YUV 4:2:0 intra coding” ICIP 2009, pp. 1033-1036



# Adapting Chroma from Luma to the Frequency Domain

- Key insight: LT and DCT are both linear transforms so similar relationship exists in frequency domain
- Both encoder and decoder compute linear regression using 4 LF coefficients from Up, Left and Up-Left
- Use reconstructed luma coefficients to predict coincident chroma coefficients:

$$C_{DC} = \alpha_{DC} \cdot L_{DC} + \beta_{DC}$$

$$C_{AC}(u, v) = \alpha_{AC} \cdot L_{AC}(u, v)$$

- Still expensive, but cost constant with block size

Block Size	SD-CfL		FD-CfL	
	Adds	Mults	Adds	Mults
N x N	4*N+2	8*N+3	2*12+5	4*12+5
4 x 4	18	35	29	53
8 x 8	34	67	29	53
16 x 16	66	131	29	53



# Example

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Original uncompressed image



# Example

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Reconstructed luma  
with predicted chroma  
using FD-CfL



# PVQ Prediction with CfL

- Consider prediction of 15 AC coefficients of 4x4 Cb
- The 15-dimensional predictor  $\mathbf{r}$  is scalar multiple of coincident reconstructed luma coefficients  $\hat{\mathbf{x}}_L$

$$C_{AC}(u, v) = \alpha_{AC} \cdot L_{AC}(u, v) \implies \mathbf{r} = \alpha_{AC} \cdot \hat{\mathbf{x}}_L$$

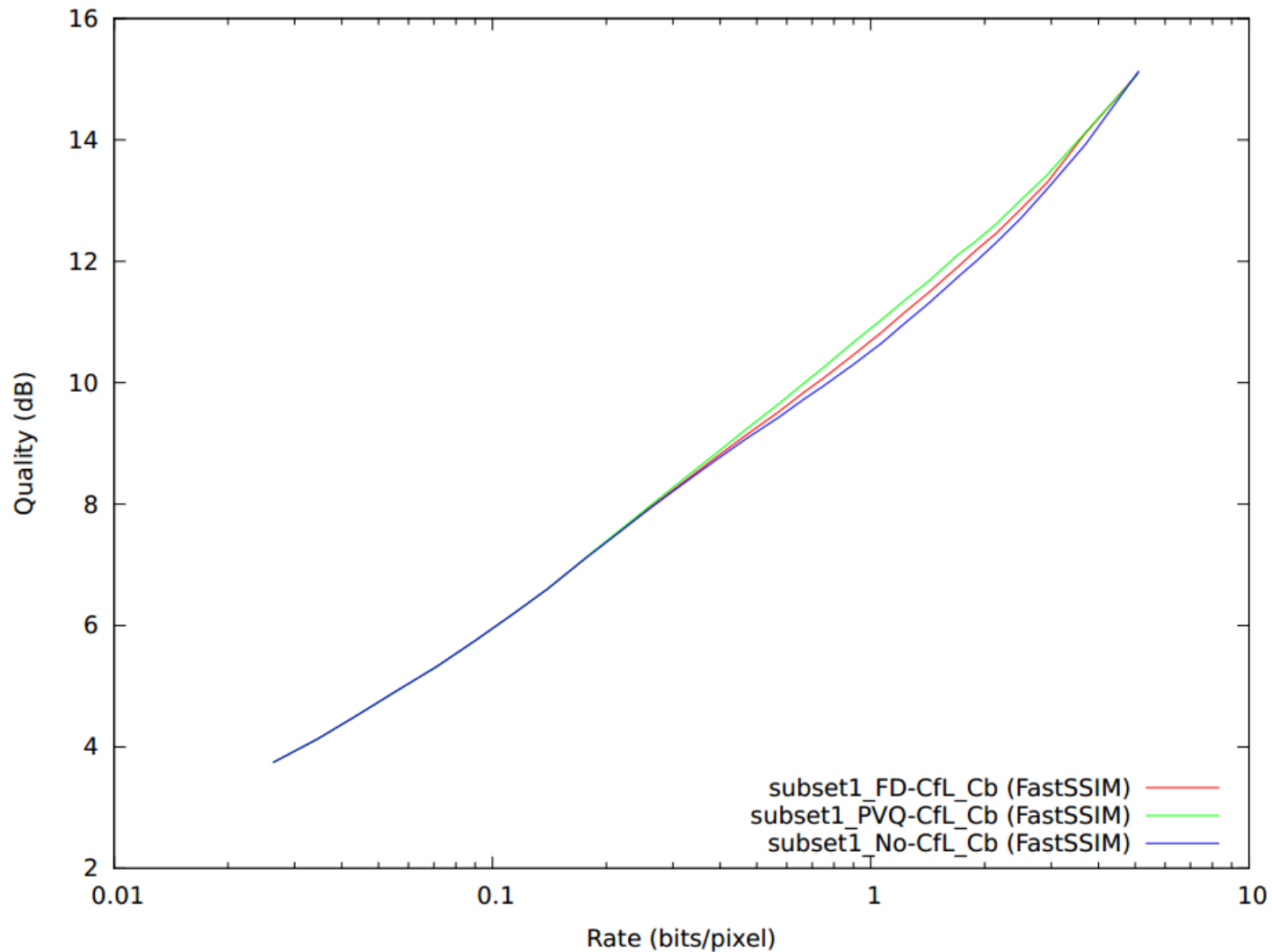
- Thus “shape” predictor is almost exactly  $\hat{\mathbf{x}}_L$

$$\frac{\mathbf{r}}{\|\mathbf{r}\|} = \frac{\alpha_{AC} \cdot \hat{\mathbf{x}}_L}{\|\alpha_{AC} \cdot \hat{\mathbf{x}}_L\|} = \text{sgn}(\alpha_{AC}) \frac{\hat{\mathbf{x}}_L}{\|\hat{\mathbf{x}}_L\|}$$

- Only difference is *direction* of correlation!



# Results (FD-CfL v PVQ-CfL)





# Paint De-Ringing Filter

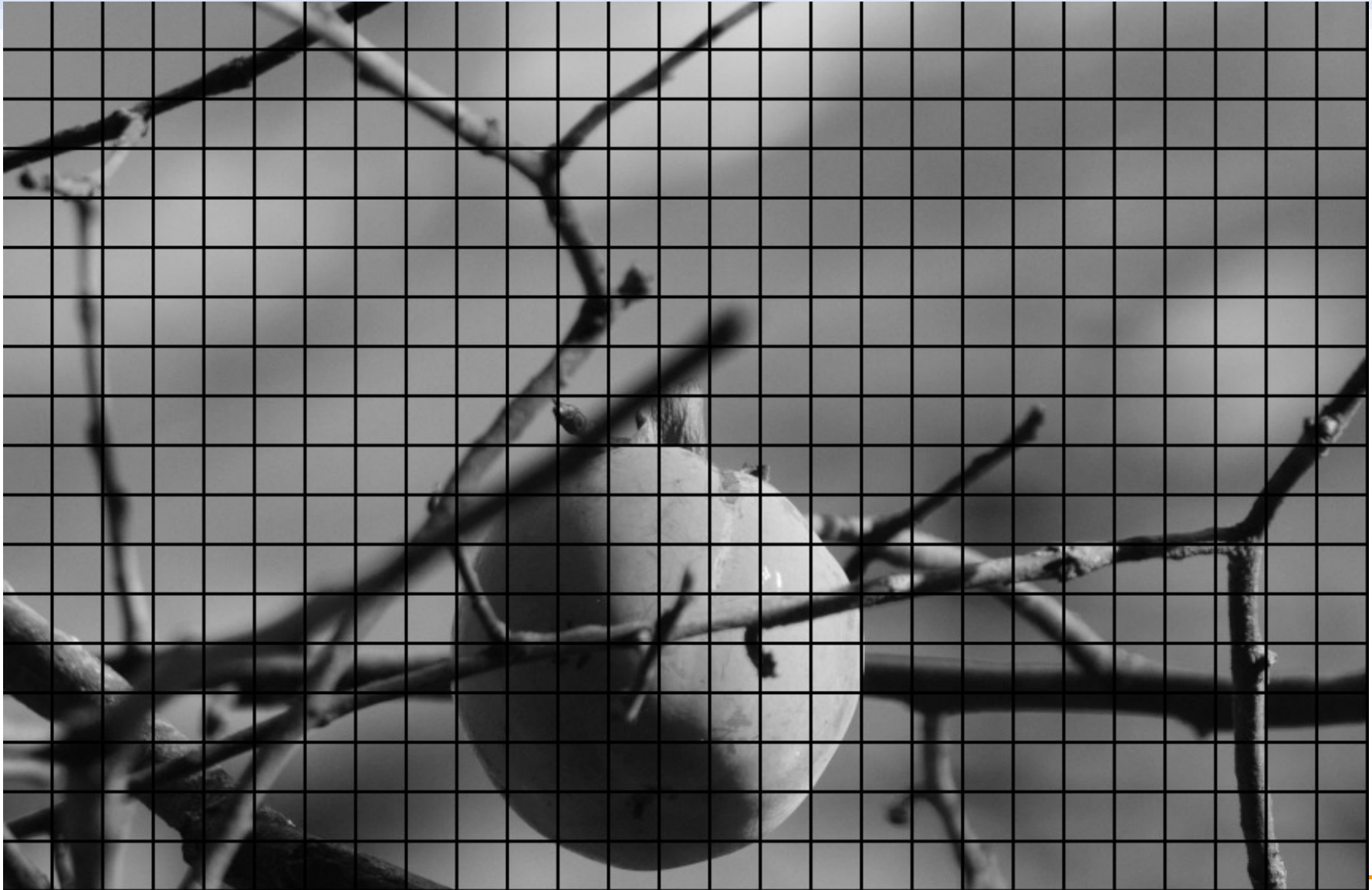
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- Larger support of lapped transforms increases ringing
- Proposed paint deringing filter directionally blends proportional to quantization noise
  - 1) Direction search (on reconstruction)
  - 2) Boundary pixel optimization
  - 3) Paint and blend



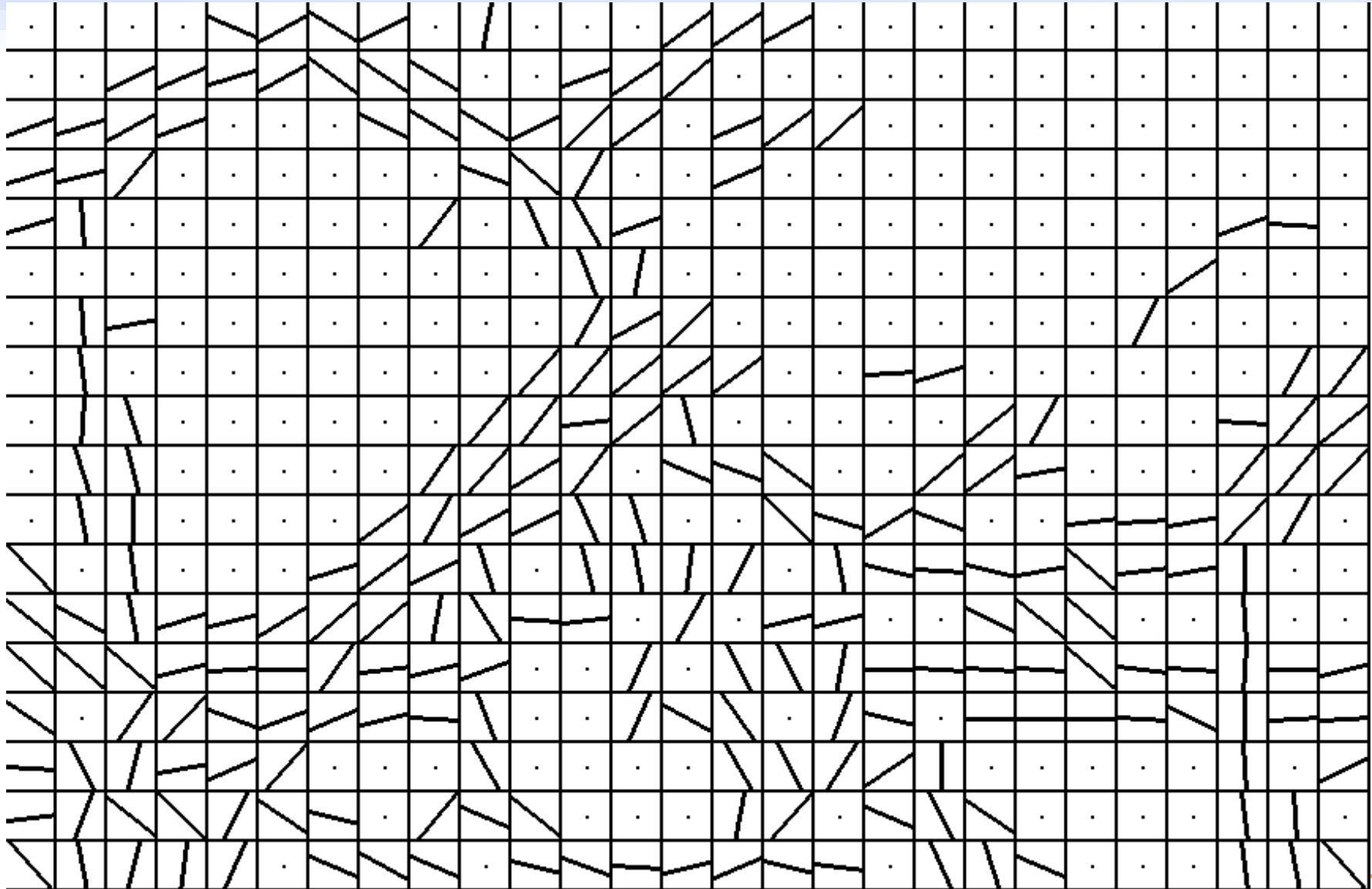


# Paint (Block Partition)



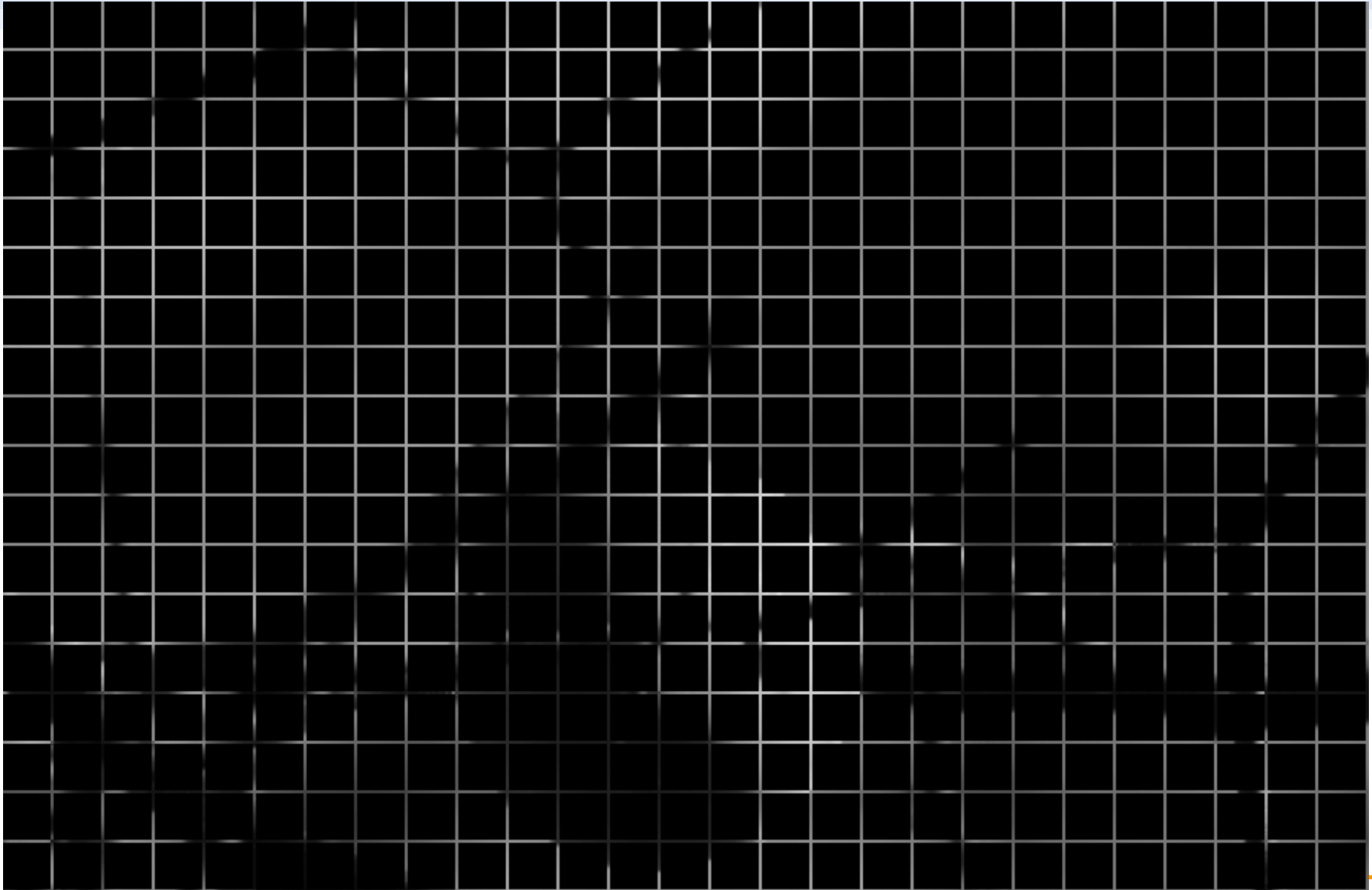


# Paint (Direction Search)





# Paint (Boundary Optimization)





# Paint (Bilinear Extension)





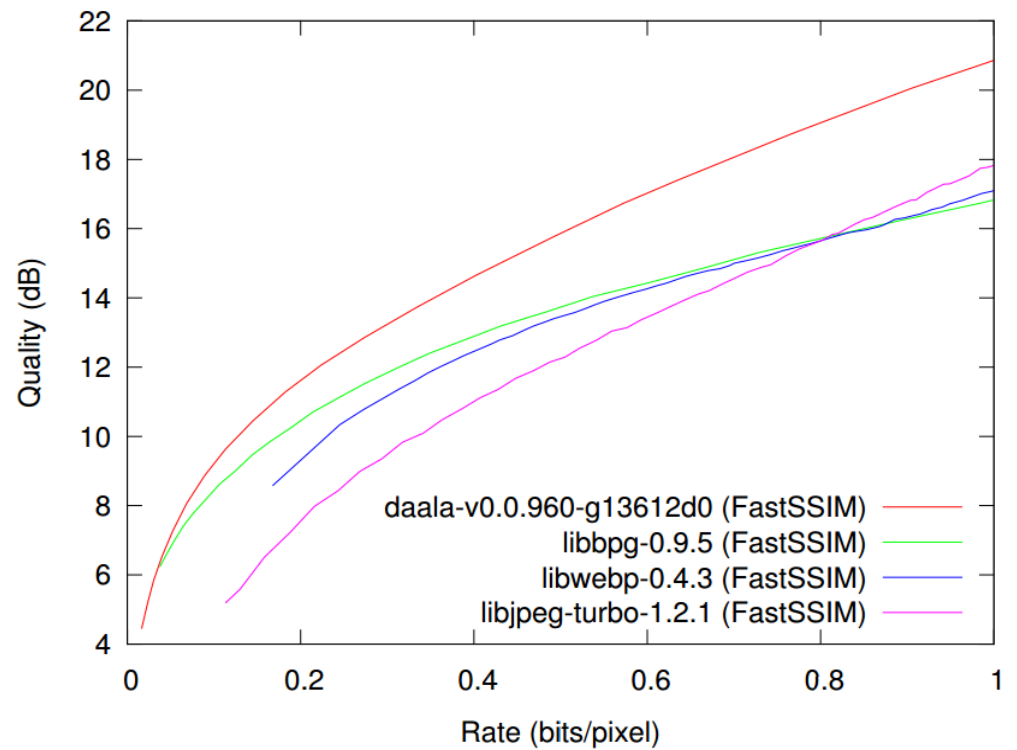
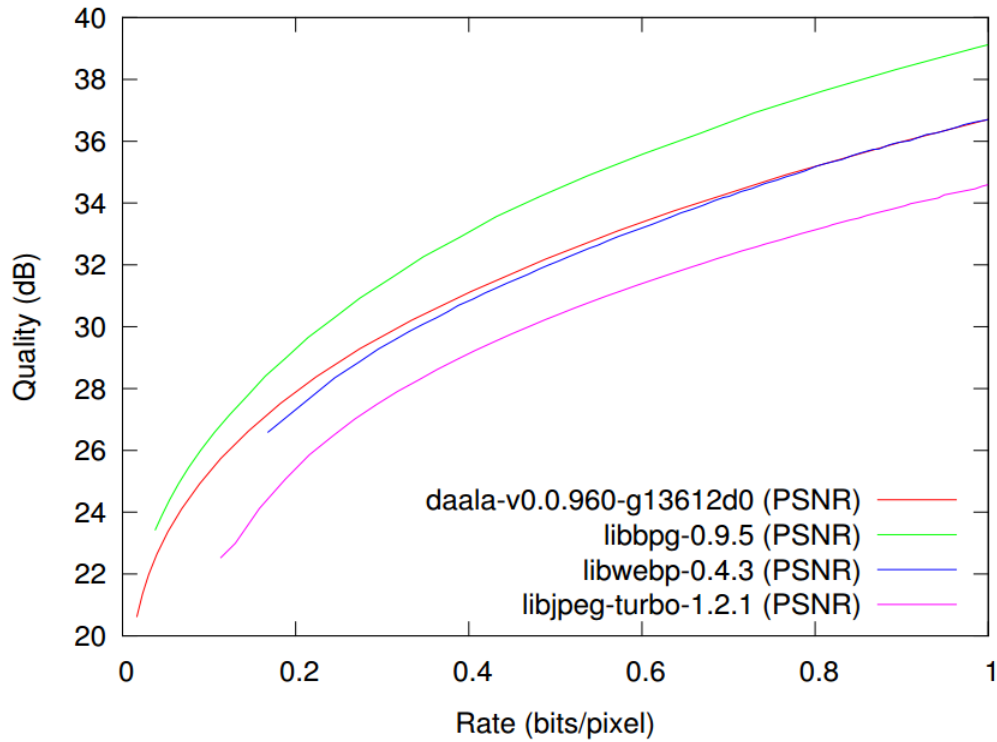
# Blended Image

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# Results (PCS 2015 Images)





# Resources

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- Daala codec website: <https://xiph.org/daala/>
- Daala Technology Demos:  
<https://people.xiph.org/~xiphmont/demo/daala/>
- Git repository: <https://git.xiph.org/>
- IRC: #daala channel on irc.freenode.net
- Mailing list: [daala@xiph.org](mailto:daala@xiph.org)



# Questions?